

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Abbott et al. :
 : Art Unit: 3624
Serial No.: 09/769,121 :
 : Examiner: Narayanswamy Subramanian
Filed: January 24, 2001 :
 :
For: METHODS AND SYSTEMS :
FOR FINANCING AND :
EXECUTING TRANSACTIONS :

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

1. Transmitted herewith is:
Transmittal and Amendment in response to Office Action dated October 4, 2006
(14 pages)

STATUS

2. Applicant
☐ claims small entity status.
☒ is other than a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☒ Applicant petitions for an extension of time under 37 C.F.R. 1.136
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

| Extension for response within: | Other than small entity Fee | Small entity Fee (if applicable) |
|--------------------------------------------------|--------------------------------|-------------------------------------|
| <input type="checkbox"/> first month | \$ 120.00 | \$ 60.00 |
| <input checked="" type="checkbox"/> second month | \$ 450.00 | \$ 225.00 |
| <input type="checkbox"/> third month | \$ 1,020.00 | \$ 510.00 |
| <input type="checkbox"/> fourth month | \$ 1,590.00 | \$ 795.00 |
| <input type="checkbox"/> fifth month | \$ 2,160.00 | \$1,080.00 |
| | Fee Due | \$ _____ |

If an additional extension of time is required, please consider this a petition therefor.
(Check and complete the next item, if applicable)

- ☐ An extension of _____ months has already been secured. The fee paid therefor \$_____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 450.00

OR

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

| | (Col. 1) CLAIMS REMAINING AFTER AMENDMENT | (Col. 2) HIGHEST NO. PREVIOUSLY PAID FOR | (Col. 3) PRESENT EXTRA | SMALL ENTITY ADDITIONAL RATE FEE | OR | OTHER THAN SMALL ENTITY ADDITIONAL RATE FEE |
|-------------------------------------------|-------------------------------------------------------|---------------------------------------------------|------------------------------|----------------------------------------|----|------------------------------------------------------|
| | MINUS | | =0 | x \$25.00 = \$ | | x \$50.00 = \$ |
| TOTAL INDEP. | MINUS | | =0 | x \$100.00 = \$ | | x \$200.00 = \$ |
| FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | + \$180.00 = \$ | | + \$360.00 = \$ |
| | | | | TOTAL ADDITIONAL FEE \$ | OR | TOTAL ADDITIONAL FEE \$ |

- (a) ☒ No additional fee for Claims is required

OR

- (b) ☐ Total additional fee for claims required \$

FEE PAYMENT

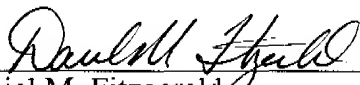
5. Attached is a check in the sum of \$
☒ Charge Deposit Account No. 01-2384 the sum of \$450.00
A duplicate of this transmittal is attached.

FEE DEFICIENCY

6. ☒ If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

AND/OR

- ☒ If any additional fee for claims is required, charge Deposit Account No. 01-2384.
7. ☐ Other:


Daniel M. Fitzgerald
Reg. No. 38,880
ARMSTRONG TEASDALE LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102
314/621-5070